

## DECLARATION OF BENEFICIAL OWNERSHIP

This form has been issued under the Financial Institutions (Customer Due Diligence) Rules, No. 1 of 2016 issued in terms of the Section 2(3) of the Financial Transactions Reporting Act No 6 of 2006. This form, or an approved equivalent, is required to be completed by customers of financial institutions designated under the Act to the best of their knowledge. The original completed and signed and witnessed version of this form must be retained by the financial institution and available to the competent authorities upon request.

### Details of the legal person/ legal arrangement, to whom account opened (eg: Company, Trust, partnership etc...)

|                  |  |
|------------------|--|
| Name             |  |
| Registration No. |  |
| Address          |  |

### Details of natural person authorized to act on behalf of the legal person/ legal arrangement

|               |  |
|---------------|--|
| Name          |  |
| ID No.        |  |
| Date of birth |  |
| Designation   |  |

I declare that I am:

- The/a beneficial owner<sup>1</sup> of the afore said account,  
 Not the beneficial owner of the afore said account,

and details of all beneficial owners,

That own or control 10% or more of the entity's equity,  
 On whose behalf the account is being operated,  
 Who exercises effective control of the entity are specified below.

#### UBO 1

Name : .....

Current address : .....

Identification document No : ..... Country of issue : .....

Citizenship : ..... PEP<sup>2</sup> status : Yes / No

Date of birth : .....

Source of Beneficial Ownership :  Equity (.....%)  
 Person on whose behalf the account is being operated  
 Effective control

#### UBO 2

Name : .....

Current address : .....

Identification document No : ..... Country of issue : .....

Citizenship : ..... PEP status : Yes / No

Date of birth : .....

Source of Beneficial Ownership :  Equity (.....%)  
 Person on whose behalf the account is being operated  
 Effective control

#### UBO 3

Name : .....

Current address : .....

Identification document No : ..... Country of issue : .....

Citizenship : ..... PEP status : Yes / No

Date of birth : .....

Source of Beneficial Ownership :  Equity (.....%)  
 Person on whose behalf the account is being operated  
 Effective control

**UBO 4**

Name : .....

Current address : .....

Identification document No : .....

Citizenship : ..... Country of issue : .....

Date of birth : ..... PEP status : Yes / No

Source of Beneficial Ownership :  Equity (.....%)  
 Person on whose behalf the account is being operated  
 Effective control

**UBO 5**

Name : .....

Current address : .....

Identification document No : .....

Citizenship : ..... Country of issue : .....

Date of birth : ..... PEP status : Yes / No

Source of Beneficial Ownership :  Equity (.....%)  
 Person on whose behalf the account is being operated  
 Effective control

**UBO 6**

Name : .....

Current address : .....

Identification document No : .....

Citizenship : ..... Country of issue : .....

Date of birth : ..... PEP status : Yes / No

Source of Beneficial Ownership :  Equity (.....%)  
 Person on whose behalf the account is being operated  
 Effective control

If more than six UBOs are there, please take a photocopy of this page, complete for additional parties and submit along with this form.

I attest the veracity of all information herein.

.....  
Signature of the authorized person

.....  
Company seal

**For Office Use Only**

I have carefully examined the above information together with the relevant documents submitted by the customer and satisfied myself that the said information and documents are in conformity with the Internal Policies & circulars of the Bank. Further I attest that I have identified the Customer whose signature is on this form and have witnessed the same.

|                     |  |
|---------------------|--|
| Name of the Officer |  |
| PF No.              |  |
| Date                |  |
| Signature & Seal    |  |

- 1 A natural person who ultimately owns or control a customer or the person on whose behalf a transaction is being conducted and includes the person who exercises ultimate effective control over a person or a legal arrangement.
- 2 An individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rand or junior rand individuals